

Security Roles and Responsibilities Assessment Checklist

No	Procedures	Status	Notes
1	Are security roles and responsibilities clearly defined?		
2	Has someone been assigned to audit the firewall on a regular basis?		
3	Has someone been assigned to upgrade the firewall when necessary?		
4	Do all managers understand both their own security roles and responsibilities and those of the people who report to them?		
5	Do support personnel have specific preventive procedures to follow? (Make sure they're not just running in react mode.)		
6	Is someone assigned to regularly conduct firewall penetration tests from the Internet? (A new test is required after each major change or upgrade to the firewall.)		
7	Is firewall administration adequately funded?		
8	Are firewall upgrades and routine maintenance adequately funded?		
9	Is intrusion-detection software installed on networks and systems?		
10	Is auditing software installed on mission-critical systems?		
11	Are emergency response roles and responsibilities clearly—and formally—defined?		
12	Are lessons learned from break-ins shared and used to build better processes? (Don't tolerate information hoarders on your staff!)		
13	Is virus protection installed at every entry point?		